

# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

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Application Number	10/826,972	_
Filing Date	April 16, 2004	
First Named Inventor	Sung Hwan Moon	
Art Unit	1624	
Examiner Name		
Attorney Docket No.	200146.402C4	

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Extension of T Express Abance Request Information Dissipation Statement Form PTO-144 Cited Reference Certified Copy Document(s) Response to N under 37 C.F.F	al Form ned esponse declaration(s) ime Request donment sclosure 49 (10 pages) ces (X 3) of Priority dissing Parts R. 1.52 or 1.53	Drawing(s) Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Request for Refund	CD(s), Number of CD(s)  After Allowance Communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Return Receipt Postcard Additional Enclosure(s) (please identify below):
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	SICNATUE	RE OF APPLICANT, ATTORNEY,	OP AGENT
Individual Name	Qing Lin, Ph		Customer Number 00500
Signature	Cours	15	
Date	October 21,	2004	
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Typed or printed r	name <b>Jer</b>	nifer J. Fortuny	
Signature		13A3	Date: October 21, 2004
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 21, 2004

Date

Jennifer J. Fortuny

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Sung Hwan Moon et al.

Application No.

10/826,972

Filed

April 16, 2004

For

REVERSE-TURN MIMETICS AND METHOD RELATING

**THERETO** 

Art Unit

: 1624

Docket No.

: 200146.402C4

Date

: October 21, 2004

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

### Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the 384 references set forth on the attached Form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 10/803,179, filed March 17, 2004; which is a continuation-in-part of U.S. Application No. 10/411,877, filed April 9, 2003; which is a continuation-in-part of U.S. Application No. 10/087,443, filed March 1, 2002, now abandoned; which is a continuation-in-part of U.S. Application No. 09/976,470, filed October 12, 2001, now abandoned. The references listed on the attached Forms PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in these prior applications and, therefore, are not required to be provided in this application. However, references CL, DF, and DK of the attached Forms PTO-1449, were



not previously made of record, and accordingly, copies are enclosed herewith. If the Examiner wishes, copies of previously supplied references will be provided upon request. As to any reference supplied herewith or previously supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC

Qing Lin, Ph.D.

Registration No. 53,937

**Enclosures:** 

Form PTO-1449 (10 pages) Cited References (X 3)

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FORM PTO-1449 '7 (REV.7-80)

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ATTY. DOCKET NO. 200146.402C4

APPLICATION NO. 10/826,972

APPLICANTS

Sung Hwan Moon et al.

FILING DATE

GROUP ART UNIT

April 16, 2004

1624

# INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

*EXAMINER · INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,440,013	08/08/95	Kahn	530	317	
· · ·	AB	5,475,085	12/12/95	Kahn	530	317	
	AC	5,618,914	04/08/97	Kahn	530	317	
	AD	5,670,155	09/23/97	Kahn	424	208.1	
	AE	5,672,681	09/30/97	Kahn	530	317	
	AF	5,693,325	12/02/97	Kahn	424	188.1	
	AG	5,710,245	01/20/98	Kahn	530	324	
	AH	5,840,833	11/24/98	Kahn	530	300	
	ΑI	5,859,184	01/12/99	Kahn et al.	530	300	
The state of	AJ	5,929,237	07/27/99	Kahn	544	279	

### FOREIGN PATENT DOCUMENTS

İ		DOCUMENT NUMBER	DATE	COUNTRY	TRANS	LATION
		1.0COMENT NOMBER	BATE	COUNTRY	YES	NO
	AK	WO 03/006447 A2	01/23/03	WIPO		
	AL	WO 01716135 A2	03/08/01	WIPO		
	AM	WO 01/00210 A1	01/04/01	WIPO		
	AN	WO 94/03494 A1.	02/17/94	WIPO		

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	AO	Arango, D. et al., "c-myc/p53 Interaction Determines Sensitivity of Human Colon Carcinoma Cells to 5-Fluorouracie in Vitro and in Vivo," Cancer Research 61: 4910-4915, June 15, 2001.
	AP	Batlle, E. et al., "β-Catenin and TCF Mediate Cell Positioning in the Intestinal Epithelium by Controlling the Expression of EphB/EphrinB," <i>Cell</i> , 111:251-263, October 18, 2002.
,	AQ	Behrens, J. et al., "Functional interaction of β-catenin with the transcription factor LEF-1," <i>Nature</i> 382: 638-642, August 15, 1996.
	AR	Bienz and Clevers, "Linking Colorectal Cancer to Wnt Signaling," <i>Cell</i> , 103:311-320. October 13, 2000.
	AS	Blanc-Brude, O. P. et al., "Inhibitor of apoptosis protein surviving regulates vascular injury,"  Nature Medicine, 8(9):987-994, September 2002.

**EXAMINER** 

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

TORDIN 15.1449   PAPELATION NUMBER   PAPELA									S	heet 2	of <u>10</u>
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Caca, K. et al., "β- and y-Catenin Mutations, but not E-Cadherin Inactivation, Underlie T-Cell Factor." Name   DATE   DOLUMENTS	INFO	ORM.	ATION DISCLOSU	RE STATEM	ENT		al.				
SAMINER   DOCUMENT NUMBER   DATE   NAME   CLASS   SURCLASS   PLAPROPRIATE						FILING DATE			T UNIT		
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BA   6,013,458   01/11/00   Kahn et al.   435   7.1		· · · · · · · · · · · · · · · · · · ·		U.S.	PATENT I	OCUMENTS		· · · ·			
BB   6,020,331   02/01/00   Kahn   514   221			DOCUMENT NUMBER	DATE	· · · · · · · · · · · · · · · · · · ·	NAME	CLA	ss su	BCLASS		
BC   6,117,896   09/12/00   Qabar et al.   514   384       BD   6,184,223 B1   02/06/01   Kahn et al.   514   249       BE   6,245,764 B1   06/12/01   Kahn et al.   514   248       BE   6,294,525 B1   09/25/01   Stasiak et al.   514   183       BG   6,372,744 B1   04/16/02   Qabar et al.   514   248       BH   6,413,963 B2   07/02/02   Kahn et al.   514   249       BI   6,440,955 B1   08/27/02   Stasiak et al.   514   183       BI   6,548,500 B2   04/15/03   Kahn et al.   514   249       BI   6,548,500 B2   04/15/03   Kahn et al.   514   249       FOREIGN PATENT DOCUMENTS   TRANSLATION   YES   NO   NO     BK		ВА	6,013,458	01/11/00	Kahn et al.		435	7.1			
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